Method for Surface Reflectance Estimation with MODIS by Means of Bi-Section between MODIS and Estimated Radiance as well as Atmospheric Correction with Skyradiometer

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Abstract-Method for surface reflectance estimation with MODIS by means of bi-section algorithm between MODIS and estimated radiance is proposed together with atmospheric correction with sky-radiometer data. Surface reflectance is one of MODIS products and is need to be improved its estimation accuracy. In particular the location near the skyradiometer or aeronet sites of which solar direct, aureole and diffuse radiance are measured, it is possible to improve the estimation accuracy of surface reflectance. The experiment is conducted at the skyradiometer site which is situated at Saga University. There is Ariake Sea near the Saga University. It is rather difficult to estimate surface reflectance of the sea surface because the reflectance is too low in comparison to that of land surface. In order to improve surface reflectance estimation accuracy, atmospheric correction is mandated. Atmospheric correction method is also proposed by using skyradiometer data. Through the experiment, it is found that these surface reflectance estimation and atmospheric correction methods are validated.

Keywords—Sea surface reflectance; Atmospheric correction; Sky-radiometer; MODIS; satellite remote sensing

I. INTRODUCTION

Sea surface reflectance, water leaving radiance are fundamental characteristics and are importance parameters for the estimations of chlorophyll-a concentration, suspended solid, etc. Therefore, there is a strong demand to improve sea surface reflectance estimation accuracy. In order to improve surface reflectance, it is required to improve atmospheric correction accuracy. In the visible to near infrared wavelength region, the absorption components due to water vapor, ozone, aerosols, and the scattering due to atmospheric molecules, aerosols are major components. In particular, aerosol absorption and scattering (Mie scattering) is not so easy to estimate rather than scattering component due to atmospheric molecules (Rayleigh scattering). After the estimation of these components, radiative transfer equation has to be solved for the atmospheric correction. This is the process flow of the atmospheric correction [1]-[6]. Also, atmospheric component Kenta Azuma 2 2 Cannon Electronics Inc. Tokyo, Japan

measurement, estimation, retrievals are attempted together with sensitivity analysis [7]-[17]. It is still difficult to estimate the aerosol characteristic estimation which results in difficulty on surface reflectance estimations.

The method proposed here is based on ground based Skyradiometer¹ which allows aerosol refractive index and size distribution through measurements of spectral optical depth through direct and aureole as well as diffuse solar irradiance. These are measured aerosol refractive index and size distribution, not estimated refractive index and size distribution. Therefore, it is expected that atmospheric correction can be done much precisely rather than estimation without sky radiometer data.

One of the examples are shown here for sea surface reflectance estimation with MODIS² data of Ariake Sea in Japan. Method for surface reflectance estimation with MODIS by means of bi-section algorithm between MODIS and estimated radiance is proposed together with atmospheric correction with sky-radiometer data. Surface reflectance is one of MODIS products and is need to be improved its estimation accuracy. In particular the location near the skyradiometer or aeronet sites of which solar direct, aureole and diffuse radiance are measured, it is possible to improve the estimation accuracy of surface reflectance. The experiment is conducted at the skyradiometer site which is situated at Saga University. There is Ariake Sea near the Saga University. It is rather difficult to estimate surface reflectance of the sea surface because the reflectance is too low in comparison to that of land surface. In order to improve surface reflectance estimation accuracy, atmospheric correction is mandated. Atmospheric correction method is also proposed by using skyradiometer data.

In the next section, the method and procedure of the experimental study is described followed by experimental data and estimated results. Then conclusion is described with some discussions.

¹ http://skyrad.sci.u-toyama.ac.jp/

² http://modis.gsfc.nasa.gov/

II. PROPOSED METHOD

A. The Proposed Method

Atmospheric correction is important for estimation of surface reflectance (Remote Sensing Reflectance³) in particular for estimation of sea surface reflectance estimation. The proposed atmospheric correction method is based on Skyradiometer data derived aerosol size distribution and refractive index. The aerosol refractive index and size distribution can be estimated by using SkyradPack⁴ with direct and diffuse solar irradiance those are measured with skyradiometer. Scattering phase function, extinction as well as scattering and absorption coefficients and asymmetry index are then estimated by using mie2new software code with the estimated refractive index and size distribution. Meantime, geometric relation among the satellite sensor of MODIS onboard AQUA satellite is estimated with MODIS Level 1B product. These estimated values are set to the input parameters (Tape 5) of MODTRAN⁵ of atmospheric radiative transfer code. Other input parameters are set at the default values. In the process of estimation of the Top of the Atmosphere: TOA Radiance, MODTRAN is used.

The well-known bi-section method is used for estimation surface reflectance because TOA radiance is getting large in accordance with sea surface reflectance. First, initial value of the sea surface reflectance is assumed. By using the initial sea surface reflectance together with the aforementioned input parameters, all the required input parameters are set for MODTRAN. Then TOA radiance can be estimated based on MODTRAN. The estimated TOA radiance is compared to MODIS Level 1B product derived at sensor radiance. The sea surface reflectance can be estimated by minimizing the difference between TOA radiance and the at sensor radiance by changing the sea surface reflectance. The proposed process flow is shown in Figure 1.



Fig. 1. Process flow of the proposed surface reflectance estimation method

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R denotes the remote sensing reflectance while $L_{MODTRAN}$ denotes MODTRAN derived radiance. L_{MODIS} of SeaDAS⁶ defined standard product of sea surface reflectance derived from MODIS data is used

The bi-section process is converged within 10 times of iterations because there is only one unknown parameter. The accuracy of this iterative process is around 0.0009765.

B. The Intensive Study Areas

Figure 2 shows the intensive study areas in the Ariake Sea area, Kyushu, Japan.



Fig. 2. Intensive study areas

III. EXPERIMENT

A. The Data Used

Terra/MODIS Level 1B of Band 8 to 16 product of Ariake Sea (Latitude: 32.82-33.25 N, Longitude: 130.05-130.65 E), Japan which is acquired at 02:20 (GMT) on May 1 2003 is used. The number of pixel data of Ariake Sea is 638 pixels (Ground resolution of MODIS is 1 km). MODIS Level 1B imagery data is shown in Figure 3.

> MODIS on Terra L1B, 2003/5/1 02:20 Latitude 32.82 - 33.25 degree, Lon 130.05 - 130.65 degree

⁶ http://seadas.gsfc.nasa.gov/

⁴ SkyradPack is available from the University of Tokyo, Nakajima, et al., 1996

⁵ http://modtran5.com/



(a)Portion of MODIS image



(b)MODIS image of the intensive study area of Ariake Sea

Fig. 3. MODIS image of the intensive study area of Ariake Sea acquired on May 1 2003 $\,$

The locations of MODIS pixels of the intensive study area of Ariake Sea are shown in Figure 4.



Fig. 4. Location of the MODIS pixels of the intensive study area

B. The Experimental Results

MODIS band number, center wavelength, Root Mean Square Difference: RMSD between MODIS standard product of surface reflectance and SeaDAS defined standard remote sensing reflectance, the estimated remote sensing reflectance by the proposed method with the default input parameters of the used MODTRAN (Mid-Latitude Summer), and the estimated remote sensing reflectance by the proposed method with the input parameters including phase function of aerosols are shown in Table 1.

TABLE I. ROOT MEAN SQUARE DIFFERENCE: RMSD COMPARISONS

| Band | Center | RMSD(1/str) | | |
|------|----------------|-------------|----------|----------|
| | Wavelength(nm) | SeaDAS | Default | Proposed |
| 8 | 412 | 0.00283 | 0.00257 | 0.00156 |
| 9 | 443 | 0.00361 | 0.0019 | 0.00144 |
| 10 | 488 | 0.00552 | 0.00341 | 0.0022 |
| 11 | 531 | 0.00692 | 0.00444 | 0.00336 |
| 12 | 551 | 0.00677 | 0.00396 | 0.003 |
| 13 | 667 | 0.00221 | 0.0016 | 0.00149 |
| 14 | 678 | 0.00216 | 0.00154 | 0.00146 |
| 15 | 748 | 0.000495 | 0.000651 | 0.000739 |
| 16 | 869 | 0.000248 | 0.00082 | 0.000831 |

In the Table 1, "Default" denotes the proposed method with the default input parameters of the atmosphere without using skyradiometer data while "Proposed" denotes the proposed method with using skyradiometer data. From the table, it may say that the remote sensing reflectance by the proposed method is much closer than the others to the standard product of surface reflectance, L1B product derived remote sensing reflectance in particular for shorter wavelength rages from 412 to 678 nm. Meanwhile, SeaDAS defined remote sensing reflectance is much closer than the others for the longer wavelength ranges from 748 to 869 nm (Near infrared wavelength region). Therefore, it may say that it would be better to use the measured skyradiometer data for improvement of estimation accuracy of surface reflectance. Moreover, the TOA radiance (at sensor radiance) can be simultaneously for vicarious calibration, in estimated particular.

C. Sensitivity Analysis

The relations between aerosol refractive index (Real and Imaginary parts) and extinction coefficient, scattering coefficient, absorption coefficient, and asymmetry parameter are investigated at the wavelengths, 340, 380, 400, 500, 550, 675, 870, and 1020nm (relatively transparent wavelength). Figure 5 shows the relations for the real part of the refractive index of aerosol and extinction, scattering, absorption coefficients and asymmetry parameter while Figure 6 shows those for the imaginary part of the refractive index and extinction, scattering, absorption coefficients and asymmetry parameter.

Extinction coefficient consists scattering and absorption coefficients of aerosol particles. On the other hand, asymmetry parameter is an asymmetric characteristic of aerosol scattering phase function. Rayleigh scattering phase function is symmetry while Mie scattering phase function is asymmetry (Forward scattering is dominant). Optical property of aerosol particles can be expressed with these coefficients and asymmetry parameter. Influencing components of aerosol particles to the optical property are refractive index and size distribution. Refractive index consists of real and imaginary parts, complex function. Real part represents refractive component of aerosol particles while imaginary part expresses absorptive component. There are some approximated size distribution functions of aerosol particles. Log-Normal distribution, Power Law distribution as well as Junge distribution functions are representatives. Therefore, the relations among these parameters are examined in these figures,







(c)Absorption Coefficient



(d)Asymmetry Parameter

Fig. 5. Relations between real part of refractive index and extinction, scattering, absorption coefficients and asymmetry parameter





(d)Asymmetry Parameter

Fig. 6. Relations between imaginary part of the refractive index and Extinction, Scattering, Absorption coefficients, and asymmetry parameter

Figure 7 (a) shows a typical size distribution function of volume spectrum while Figure 7 (b) shows a typical size distribution of number spectrum (logarithmic function of aerosol particle number). In the figures, dark blue size distributions are measured at Saga University on October 15 2008. Red colored linear function shows Junge distribution with Junge parameter v in the equations (1) and (2). As shown in these figures, in usual, size distribution can be expressed with bi-modal function of Log-Normal function and is based on Power Law expression.



Fig. 7. Typical aerosol size distributions

On the other hand, Figure 8 and 9 shows the relations between Junge parameter and extinction, scattering, absorption coefficients and asymmetry parameter as well as the coefficient "C" of the truncated Power Law Distribution function of aerosol size distribution (equations (1) and (2)) and extinction, scattering, absorption coefficients and asymmetry parameter, respectively. There are two appropriate aerosol distribution functions,

Power Law and Log-Normal Distributions. Meanwhile, there are four major atmospheric components, extinction, scattering, absorption coefficients and asymmetry parameter. Power Law Distribution function is as follows,

| $n(r) = C10^{\nu+1}$ | $(r \leq 0.1 \mu m)$ | (1) |
|------------------------|----------------------|-----|
| $n(r) = Cr^{-(\nu+1)}$ | $(r > 0.1 \mu m)$ | (2) |

where n, r, C denotes the number of aerosol particles, radius of aerosol particles, and coefficient.



Junge Parameter for Trunced Power Law Distribution

(a)Extinction Coefficient



Junge Parameter for Trunced Power Law Distribution (b)Scattering Coefficient



Junge Parameter for Trunced Power Law Distribution (c)Absorption Coefficient



Junge Parameter for Trunced Power Law Distribution

(d)Asymmetry Parameter

Fig. 8. Relation between Junge parameter for the truncated Power Law Distribution and extinction, scattering, absorption coefficients and asymmetry parameter



Coefficient C for Trunced Power Law Distribution

(a)Extinction Coefficient





(b)Scattering Coefficient



(c)Absorption Coefficient





Fig. 9. Relation between the coefficient C for truncated Power Law Distribution and extinction, scattering, absorption coefficients and asymmetry parameter

If the Log-Normal Distribution is assumed for aerosol size distribution, then the results from the sensitivity analysis are shown in Figure 10. Log-Normal Distribution function is as follows,

$$\log \sigma_{g} = (\Sigma n_{i} (\log D_{i} - \log D_{g})^{2} / (N-1))^{-1/2}$$
(3)

Where,

 σ_g = geometric standard deviation (GSD)

 D_i = midpoint particle diameter of the *i*th bin

 n_i = number of particles in group *i* having a midpoint size DiN = σ_{ni} the total

The parameter for the Log-Normal Distribution is as follows,

$$n=1.0 \text{ [cm}^{-3}\text{]}$$

 $\sigma_{\sigma}=0.4$ [micrometer]

There is a parameter for the Log-Normal Distribution, averaged distribution of n. The sensitivity of extinction, scattering, and absorption coefficients as well as asymmetry parameter are varied by the averaged distribution as shown in Figure 10. It is necessary to care about these sensitivity as well as selection of aerosol size distribution function for the convergence process in the proposed process flow which is shown in Figure 1.





(b)Scattering Coefficient



(c)Absorption Coefficient



(d)Asymmetry Parameter

Fig. 10. Results from the sensitivity analysis assuming Log-Normal Distribution for aerosol size distribution

IV. CONCLUSION

Through experiments with the standard surface reflectance product of MODIS and the estimated remote sensing reflectance based on SeaDAS processing software, and the proposed bi-section based convergence process of estimation method with skyradiometer data derived aerosol refractive index and size distribution, it is found that the proposed method with skyradiometer data is superior to the SeaDAS derived remote sensing reflectance.

Further investigations are required for selection of appropriate aerosol size distribution function. The experiment is conducted with the assumed Junge ditribution with the parametrization of Junge parameter. It, however would better to take the other aerosol size distribution functions, Log-Normal, and Power Law distributions from the results of the sensitivity analysis.

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